

Circular No.: NSDL/POLICY/2015/0003

Date: January 2, 2015

Subject: SEBI's instructions relating to consolidated UN List of individuals and entities linked to Al-Qaida.

Attention of all Participants is invited to Circular No. NSDL/POLICY/2009/0101 dated October 29, 2009 (enclosing copy of SEBI Circular No. ISD/AML/CIR-2/2009 dated October 23, 2009), wherein lists of individuals and entities linked to Al-Qaida and Taliban were provided. SEBI vide its email dated January 1, 2015 has informed about the changes made in the Al-Qaida Sanctions list. The updated list is available on the UN website - <http://www.un.org/sc/committees/1267/AQList.htm>.

Participants are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Samar Banwat
Senior Vice President

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	By email at dp_igreport@nsdl.co.in Alternatively, by hard copy to the Investor Relationship Cell.	1. Circular No. NSDL/PI/98/500 dated October 6, 1998. 2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.