

Circular No.: NSDL/POLICY/2015/0008

Date: January 15, 2015

***Subject: Mutual Fund Distributors Continuing Professional Education (CPE) programmes scheduled at various locations in India in January 2015.***

All Participants are hereby informed that NSDL will conduct One day CPE programmes for Mutual Fund Distributors at the following locations for associated persons in securities markets at the given dates:

| Location   | Date of Programme | Day       |
|------------|-------------------|-----------|
| Hyderabad  | January 20, 2015  | Tuesday   |
| Chandigarh | January 21, 2015  | Wednesday |
| Kolkata    | January 22, 2015  | Thursday  |
| Ahmedabad  | January 22, 2015  | Thursday  |
| Mumbai     | January 24, 2015  | Saturday  |
| Patna      | January 28, 2015  | Wednesday |
| Lucknow    | January 28, 2015  | Wednesday |
| New Delhi  | January 29, 2015  | Thursday  |
| Bengaluru  | January 30, 2015  | Friday    |

The details of CPE training programmes are enclosed at Annexure.

Participants may nominate their eligible officials for the above programmes. Further, if Participants require NSDL to conduct CPE training programmes at any other location, then an email may be sent at [cpe@nsdl.co.in](mailto:cpe@nsdl.co.in)

For and on behalf of  
**National Securities Depository Limited**

**Samar Banwat**  
**Senior Vice President**

Enclosed: One



### FORTHCOMING COMPLIANCE

| Particulars                              | Deadline                                    | Manner of sending   | Reference   |
|--|---|---|---|
| Investor Grievance Report (Monthly)      | By 10 <sup>th</sup> of the following month. | By email at <a href="mailto:dp_igreport@nsdl.co.in">dp_igreport@nsdl.co.in</a><br>Alternatively, by hard copy to the Investor Relationship Cell.  | 1. Circular No. NSDL/PI/98/500 dated October 6, 1998.<br>2. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.      |
| Compliance Certificate (July - December) | January 31st every year.                    | Digitally signed compliance certificate by email at <a href="mailto:nsdl-bp-inspection@nsdl.co.in">nsdl-bp-inspection@nsdl.co.in</a><br>Alternatively, by hard copy to Inspection Department. | 1. Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010. |